## Taut foliations and universal circles

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A foliation  $\mathcal{F}$  is a decomposition of a 3-manifold M into surfaces (*leaves*) which are locally arranged in a product. A foliation is a kind of clothing, cut from a stripy fabric.



Image: Boston University Educational Technology Lab

**Example:** A surface bundle over a circle is foliated by surface fibers.

**Example:** A solid torus can be filled up by planes ("Reeb component"); with enough Reeb components, any 3-manifold admits a foliation.



**Proposition:** The following are equivalent:

- 1.  $\mathcal{F}$  admits a transverse circle which intersects every leaf;
- 2.  ${\mathcal F}$  admits a transverse volume-preserving flow;
- 3. M admits a metric for which leaves of  $\mathcal{F}$  are minimal surfaces.

If any (hence all) of these conditions hold,  $\mathcal{F}$  is said to be *taut*.

For M hyperbolic,  $\mathcal{F}$  is taut if and only if it has no Reeb components.

Leaves of taut foliations are  $\pi_1$ -injective, so  $\widetilde{M}$  is foliated by planes.

Leaf space L of  $\widetilde{\mathcal{F}}$  is a simply-connected 1-manifold, but it is not necessarily Hausdorff.



**Example:** New foliation from old by blowing up leaf and inserting pocket ("Denjoying").

**Example:** New foliation from old by branching over a transverse circle.

**Example:** Finite depth foliations when  $H_2(M)$  is nontrivial.

## **Universal circles**

P a plane,  $\Gamma$  a collection of properly embedded rays in P.

**Lemma:** Suppose any two  $\gamma, \delta \in \Gamma$  have  $\gamma \cap \delta$  compact. Then there is a natural circular order on  $\Gamma$ .

**Corollary:** If G a group acts on P and preserves  $\Gamma$ , then G acts on the circle.

**Example:** Let S be a surface, and  $\gamma \subset S$  an essential oriented loop. Let  $\gamma'$  embedded ray in S spiral around  $\gamma$ . Let  $P = \tilde{S}$  and  $\Gamma$  the set of lifts of  $\gamma'$  to P.

When S is closed, hyperbolic, this recovers  $S^1_{\infty}(\tilde{S})$ .

**Question:** What does this give if *S* is a torus?

**Theorem (Candel):** Let  $\mathcal{F}$  be taut, M hyperbolic. There is a metric on M so that leaves of  $\mathcal{F}$  are hyperbolic.

**Corollary:** Each leaf  $\lambda$  of  $\tilde{\mathcal{F}}$  has a natural circle at infinity  $S^1_{\infty}(\lambda)$ . Thus there is a circle bundle  $E \to L$  whose fiber over  $\lambda$  is  $S^1_{\infty}(\lambda)$ .

**Basic Question:** How to compare  $S^1_{\infty}(\lambda)$  and  $S^1_{\infty}(\mu)$  for different leaves  $\mu, \lambda \in \tilde{\mathcal{F}}$ ?

 $\epsilon$ -marker: a map

$$m: [0,1] \times \mathbb{R}^+ \to \widetilde{M}$$

such that

- 1. each  $m(p, \cdot) : \mathbb{R}^+ \to \tilde{M}$  is a quasigeodesic ray in some leaf of  $\tilde{\mathcal{F}}$ ;
- 2. each  $m(\cdot, p) : [0, 1] \to \tilde{M}$  has length  $< \epsilon$ .

**Lemma:**  $\epsilon$ -markers are dense in  $S^1_{\infty}(\lambda)$  for all  $\lambda \in \tilde{\mathcal{F}}$ .



Markers let us "stich together" nearby circles into a single *universal circle*. The properties of this circle can be given axiomatically:

A universal circle  $S_u^1$  for  $\mathcal{F}$  is the following data

- 1. faithful representation  $\rho_u : \pi_1(M) \to \text{Homeo}^+(S^1_u);$
- 2. for  $\lambda \in \tilde{\mathcal{F}}$  a monotone map  $\phi_{\lambda} : S^1_u \to S^1_{\infty}(\lambda);$
- 3. if  $\lambda, \mu \in \tilde{\mathcal{F}}$  are incomparable,  $\phi_{\lambda}$  and  $\phi_{\mu}$  are constant on intervals I, J with  $I \cup J = S_u^1$ .

## Theorem (Thurston): Universal circles exist.

proofs written by Calegari-Dunfield; Fenley

Leftmost sections compare circles of comparable leaves:



Circles from incomparable leaves:



Universal circles combine naturally under Murasugi sum.





For  $\phi: S^1 \to S^1$  monotone,  $core(\phi) \subset S^1$  is the subset where  $\phi$  is not locally constant.

For  $X \subset L$ , let  $\operatorname{core}(X) \subset S^1_u$  be the subset where  $\phi_{\lambda}$  is not locally constant for some  $\lambda \in X$ .

For  $\lambda \in L$ , let  $L^{\pm}(\lambda)$  be the components of  $L - \lambda$ .

For  $Y \subset S^1$  define  $\Lambda(Y)$  to be the boundary of the convex hull of the closure of Y, thought of as an abstract lamination of a hyperbolic plane bounded by  $S^1$ .

**Definition:** Define  $\Lambda^{\pm}(\lambda) := \Lambda(\operatorname{core}(L^{\pm}(\lambda)))$ , and let  $\Lambda_u^{\pm}$  be the closure of the union of  $\Lambda^{\pm}(\lambda)$  over all  $\lambda \in L$ .

**Proposition:**  $\Lambda_{\mu}^{\pm}$  are laminations.

**Proof:** We must show no leaf of  $\Lambda^+(\lambda)$  links any leaf of  $\Lambda^+(\mu)$  for  $\lambda, \mu \in L$ .

**Case 1:**  $\lambda \in L^{-}(\mu)$  and  $\mu \in L^{-}(\lambda)$ .

Then  $L^+(\mu)$ ,  $L^+(\lambda)$  are disjoint, and incomparable. Thus the convex hulls of core( $\nu_1$ ) and core( $\nu_2$ ) are disjoint for  $\nu_1 \in L^+(\mu)$  and  $\nu_2 \in L^+(\lambda)$ .



**Case 2:**  $\lambda \in L^{-}(\mu)$  and  $\mu \in L^{+}(\lambda)$ .

Then  $L^+(\mu) \subset L^+(\lambda)$  so

 $\operatorname{core}(L^+(\mu)) \subset \operatorname{core}(L^+(\lambda))$ 



**Case 3:**  $\lambda \in L^+(\mu)$  and  $\mu \in L^+(\lambda)$ 

Then  $L = L^+(\mu) \cup L^+(\lambda)$  so

 $\operatorname{core}(L^+(\lambda)) \cup \operatorname{core}(L^+(\mu)) = S^1_u$ 



For each  $\lambda \in L$  the images  $\phi_{\lambda}(\Lambda^{\pm})$  are (geodesic) laminations of  $\lambda$ . The unions sweep out essential laminations  $\Lambda^{\pm}$  transverse to  $\mathcal{F}$ .



**Basic Question:** When are  $\Lambda^{\pm}$  the stable/unstable laminations of a pseudo-Anosov flow (almost) transverse to  $\mathcal{F}$ ?