

# Hamiltonian diffeomorphisms and persistence modules

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Lyon, 2015, joint with Egor Shelukhin

# Symplectic preliminaries

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Hamiltonian system:

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Key feature:  $\phi_t^* \omega = \omega$ .

# Hamiltonian diffeomorphisms

$(M, \omega)$ -closed symplectic manifold.  $Ham(M, \omega)$  - group of Hamiltonian diffeomorphisms.

$Ham \subset Symp_0$ .  $Ham = Symp_0$  if  $H^1(M, \mathbb{R}) = 0$ .

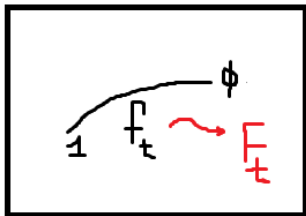
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**Hofer's length:** For a Hamiltonian path  $\alpha = \{f_t\}$ ,  $f_0 = \mathbb{1}$ ,  $f_1 = \phi$   
 $\text{length}(\alpha) = \int_0^1 \|F_t\| dt$ , where  $F_t$  - normalized (zero mean)  
Hamiltonian of  $\alpha$ .

Figure: Path  $\alpha$



# Hofer's metric

Put  $d_H(\mathbb{1}, \phi) = \inf_{\alpha} \text{length}(\alpha)$ , where  $\alpha$ -path between  $\mathbb{1}$  and  $\phi$ .  
 $d_H(\phi, \psi) := d_H(\mathbb{1}, \phi\psi^{-1})$  - Hofer's metric, 1990



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- non-degenerate Hofer, P., Lalonde-McDuff
- biinvariant
- essentially unique non-degenerate Finsler metric on  $Ham$  associated to a Ham-invariant norm on the Lie algebra  $C^{\infty}(M)_{normalized}$  Buhovsky-Ostrover, 2011

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$$\rho_k(M, \omega) := \sup_{\phi \in \text{Ham}} d(\phi, \text{Powers}_k) .$$

## Theorem (P.-Shelukhin)

*Let  $\Sigma$  be a closed oriented surface of genus  $\geq 4$  equipped with an area form  $\sigma$ , and  $k \geq 2$  an integer. Then for every closed symplectic manifold  $(M, \omega)$  with  $\pi_2(M) = 0$*

$$\rho_k(\Sigma \times M, \sigma \oplus \omega) = +\infty .$$

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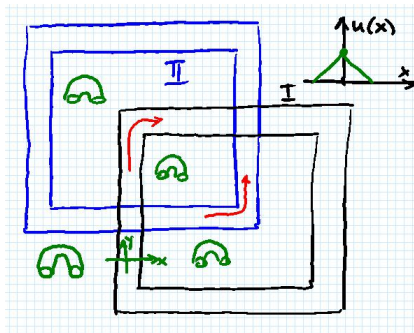
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**Idea of example:** In 2D, autonomous = integrable = deterministic.  
Thus look for  $\phi_i$  among **chaotic!**

# Hamiltonian egg-beater

Figure: For Étienne's birthday cake



Two annuli on the plane, four handles.  $\phi_\lambda = f_\lambda^I f_\lambda^{II}$ , where  $f_\lambda(x, y) = (x, y + \lambda u(x))$  - shear motion,  $\lambda$ -large parameter.

**Franjione-Ottini, 1992** (chaos in duct flows as  $\lambda \rightarrow \infty$ ), buzz word-linked twist map.

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- Handles are needed to separate periodic orbits. Our example fails on 2-sphere (Misha Khanevsky);
- $\phi_\lambda \times \mathbb{1}$  does the job for  $\Sigma \times M$ : our invariant survives stabilization by dimension.

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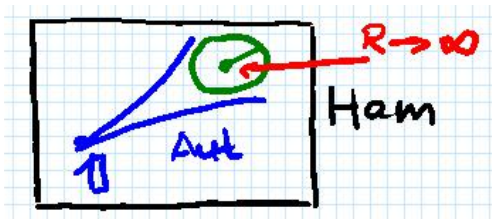
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Main theorem provides a metric take on this phenomenon:

$Ham \setminus Autonomous$  contains arbitrarily large Hofer ball.

Figure: Complement to Autonomous



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$\phi \in Ham$ ,  $d_A(\mathbb{1}, \phi) := \min N$  such that  $\phi$  is product of  $N$  autonomous diffeomorphisms.

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Note that our eggbeaters belong to  $S_A(2)$ , i.e., are products of two autonomous. Currently out of reach.

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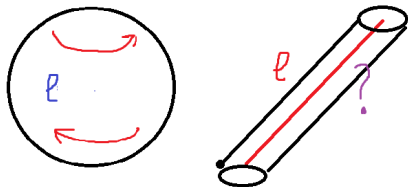
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**Open problem:** Fix such a subgroup  $\ell$  on 2-sphere. Is it true that  $Ham$  lies in a tube of radius 100 around  $\ell$ ? (P.-M.Kapovich, 2006).

Figure: Quasi-geodesic  $\ell$

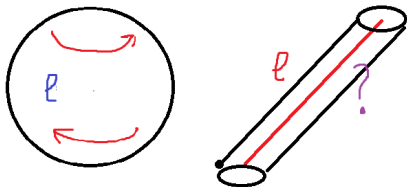


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Main theorem shows that this is not the case for  $\Sigma \times M$ .

# Motivation: Milnor's constraint

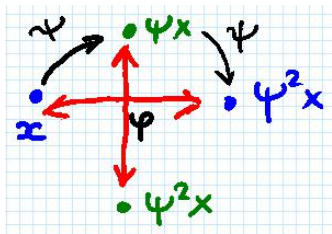
$\phi \in \text{Diff}(M)$ . If  $\phi = \psi^2$ , the number of primitive geometrically distinct 2-periodic orbits of  $\phi$  is even. (Milnor, 1983; Albers-Frauenfelder, 2014).

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Figure: 2-periodic orbits of  $\phi = \psi^2$

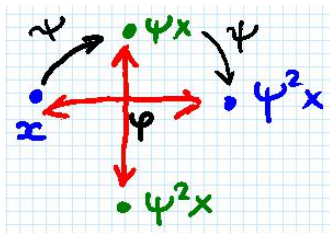


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Our invariant involves parity of the dimension of certain spaces generated by 2-periodic orbits of  $\phi$  arising in Floer theory.



# Barcodes

Edelsbrunner, Harer, Carlsson,... Last decade in the context of topological data analysis.

**Barcode**  $\mathcal{B} = \{I_j, m_j\}$ -finite collection of intervals  $I_j$  with multiplicities  $m_j$ ,  $I_j = (a_j, b_j]$ ,  $a_j < b_j \leq +\infty$ .

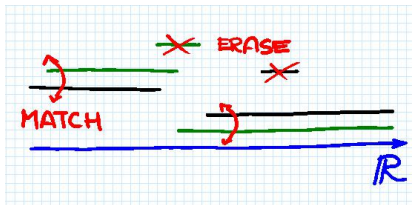
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**Bottleneck distance between barcodes:**  $\mathcal{B}, \mathcal{C}$  are  $\delta$ -matched,  $\delta > 0$  if after erasing some intervals in  $\mathcal{B}$  and  $\mathcal{C}$  of length  $< 2\delta$  we can match the rest in 1-to-1 manner with error at most  $\delta$  at each end-point.

$$d_{bot}(\mathcal{B}, \mathcal{C}) = \inf \delta .$$

Figure: Matching



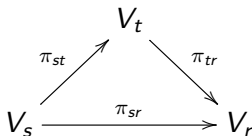
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**Persistence module:** a pair  $(V, \pi)$ , where  $V_t$ ,  $t \in \mathbb{R}$  are  $\mathcal{F}$ -vector spaces,  $\dim V_t < \infty$ ,  $V_s = 0$  for all  $s \ll 0$ .

$\pi_{st} : V_s \rightarrow V_t$ ,  $s < t$  linear maps:  $\forall s < t < r$

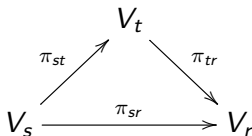


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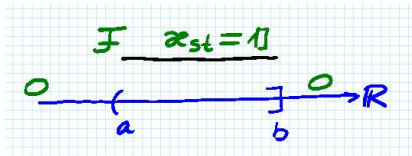


**Regularity:** For all but finite number of **jump** points  $t \in \mathbb{R}$ , there exists a neighborhood  $U$  of  $t$  such that  $\pi_{sr}$  is an isomorphism for all  $s, r \in U$ . Extra assumption ("semicontinuity") at jump points.

# Structure theorem

**Interval module**  $(Q(a, b], \kappa)$ ,  $a \in \mathbb{R}$ ,  $b \in \mathbb{R} \cup +\infty$ :  
 $Q(a, b]_t = \mathcal{F}$  for  $t \in (a, b]$  and  $Q(a, b]_t = 0$  otherwise;  
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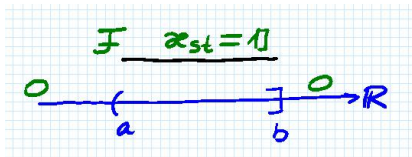
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Figure: Interval module



**Structure theorem:** For every persistence module  $(V, \pi)$  there exists unique barcode  $\mathcal{B}(V) = \{(l_j, m_j)\}$  such that  $V = \bigoplus Q(l_j)^{m_j}$ .

# Example: Morse theory

$X$ -closed manifold,  $f : X \rightarrow \mathbb{R}$ -Morse function.



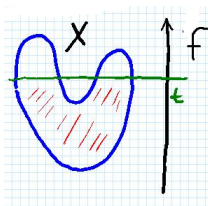
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Persistence module  $V_t(f) := H_*(\{f < t\}, \mathcal{F})$ . The persistence morphisms are induced by the inclusions

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Figure: Sublevels



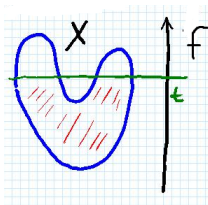
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**Robustness:**  $\|f\| := \max |f|$ -uniform norm.

$(C^\infty(X), \|\cdot\|) \rightarrow (\text{Barcodes}, d_{bot})$ ,  $f \mapsto \mathcal{B}(V(f))$  is Lipschitz.

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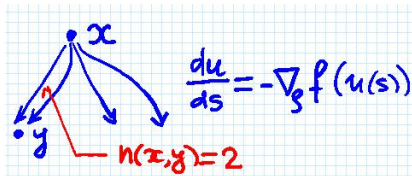
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**Complex:**  $C_t = \mathcal{F} \cdot \text{Crit}_t(f)$  - span of critical points  $x$  of  $f$  with value  $f(x) < t$ .

**Differential:**  $d : C_t \rightarrow C_t$ ,  $dx = \sum n(x, y)y$ , where  $n(x, y)$ -number of gradient lines of  $f$  connecting  $x$  and  $y$ .

Figure: Differential



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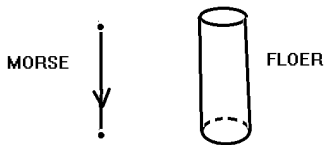
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Gradient lines connecting critical points – **Fredholm problem**

Figure: Gradient lines:



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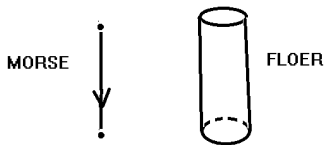
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**Critical points:** 1-periodic orbits of Hamiltonian flow

**Gradient equation:** Cauchy-Riemann (Gromov's theory, 1985)

Gradient lines connecting critical points – **Fredholm problem**

Figure: Gradient lines:



**Count of connecting lines:** Floer homology  $HF$

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# Floer persistence module

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- the module depends only on the time one map  $\phi \in Ham(M, \omega)$  of the Hamiltonian flow of  $F$ .
- There exists a version of Floer persistence module  $HF(\phi)_\alpha$  built on **non-contractible** closed orbits in the free homotopy class  $\alpha$ .

# Main principle

The map  $(Ham, d_{Hofer}) \rightarrow (Barcodes, d_{bot})$ ,

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Numerical invariants of Hamiltonian diffeomorphisms come from Lipschitz functions on barcodes. (P.-Shelukhin, Usher-Zhang, 2015)



## Example: stable multiplicity

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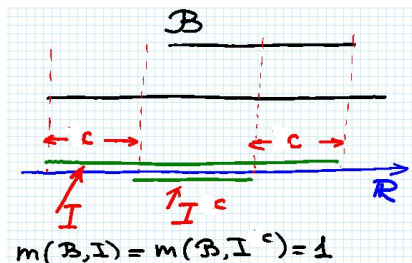
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$\mu_2(\mathcal{B}) := \sup c$  such that  $\exists I$  of length  $> 2c$  with

$m(\mathcal{B}, I) = m(\mathcal{B}, I^c) = \text{odd}$ .

Figure: Multiplicity



Field  $\mathcal{F} = \mathbb{Q}$ . Consider  $\mathbb{Z}_2$ -action  $T : HF(\phi^2) \rightarrow HF(\phi^2)$  induced by the conjugation  $\phi\phi^2\phi^{-1} = \phi^2$ .

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This is key tool for the main theorem.

# Remark on Milnor's constraint

$\phi \in \text{Diff}(M)$ . If  $\phi = \psi^2$ , the number of primitive geometrically distinct 2-periodic orbits of  $\phi$  is even. (Milnor, 1983; Albers-Frauenfelder, 2014).

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But the filtration by action functional is crucial: eggbeater  $\phi = f' \circ f''$  with  $f'' = Jf'J^{-1}$ , where  $J^2 = \mathbb{1}$ —orientation reversing measure-preserving involution, so  $\phi = (f'J)^2$ . Here  $J$  flips the annuli.

**CONGRATULATIONS TO  
Étienne!**